

# The $\mu$ -invariant of symmetric $\mathbb{Z}$ -homology spheres.

by Lucía Contreras Caballero.

We prove here in a progressive way that:

**The  $\mu$  invariant of a 3-dimensional  $\mathbb{Z}$ -homology sphere with a periodic reversing orientation selfhomeomorphism whose period is bigger than two is zero.**

Recall that the  $\mu$ -invariant of a 3-dimensional  $\mathbb{Z}_2$ -homology sphere  $M$  is defined by using a 4-dimensional manifold  $W^4$ , whose boundary is  $M$ , such that  $H_1(W^4, \mathbb{Z}_2) = 0$  and the quadratic intersection form in  $H_2(W^4, \mathbb{Z}_2)/\text{Tor}$  is even by means of the signature of the intersection quadratic form in  $H_2(W^4, \mathbb{Z}_2)/\text{Tor}$  as:

$$\mu M = -\frac{\sigma W^4}{16} \pmod{1}$$

It is well defined by Rochlin's theorem. [R]

We can establish that the  $\mu$ -invariant of a 3-dimensional  $\mathbb{Z}_2$ -homology sphere  $N$  with a reversing orientation selfhomeomorphism is zero or  $1/2$ , since in this case, the connected sum of  $N$  with  $N$  is equal to the connected sum of  $N$  with  $-N \approx N$ , which is the boundary of  $(N - B^3) \times I$ , a 4-dimensional manifold with  $H_1(W^4, \mathbb{Z}_2) = 0$  and null quadratic intersection form, so  $2\mu N = 0$ .

It was proved by Birman[B] and also by Galewski and Stern[G] and by Hsiang and Pao[H], separately, that the  $\mu$ -invariant of a 3-dimensional  $\mathbb{Z}$ -homology sphere  $M$  with a periodic reversing orientation selfhomeomorphism  $h$  of period 2 is zero.

We see here that when the period of  $h$  is bigger than two, the  $\mu$ -invariant of a 3-dimensional  $\mathbb{Z}$ -homology sphere  $M$  is zero.

We prove first:

**The  $\mu$ -invariant of a 3-dimensional  $\mathbb{Z}$ -homology sphere  $M$  with a periodic reversing orientation selfhomeomorphism  $h$  of period four is zero.**

Proof:

The set of fixed points of  $h$  is formed by two separate points [D] and the fixed points set of  $h^2$  is a knot  $K$  by Smith theory [F], when  $M$  is a  $\mathbb{Z}$ -homology sphere. This knot contains both fixed points of  $h$ , and the

selfhomeomorphism  $h$  leaves it invariant, since  $h^2(h(x)) = h(h^2(x)) = h(x)$ , reversing its orientation. ( $K$  is amphicaeiral by  $h$ ).

Let's call  $N = M/h^2$ , then  $N$  is also a  $\mathbb{Z}$ -homology sphere, because the projection on the first homology group is an epimorphism and because of Poincare duality. The selfhomeomorphism  $h$  projects to a selfhomeomorphism  $h$  in  $N$ , that will be designed by the same letter because there is no place to confusion.

$M$  is the double cover of  $N$ , branched over the knot  $K$ .

Let  $F$  be a Seifert surface of  $K$ .

We construct a bordism  $B_\sigma$  between  $M$  and two disjoint copies of  $N$ , by considering  $N \times I$ , and by making the 2-cover  $B_\sigma$  of  $N \times I$ , branched over  $F \times [0, 1/2]$ , from two copies of

$$N \times I - bicollar(F \times [0, 1/2)) \approx N \times I - F \times [-1, 1] \times [0, 1/2),$$

by identifying in both copies, the boundaries of

$$bicollar((F \times [0, 1/2)) - F \times (-1, 1) \times \{0\} :$$

If  $x^1$  is the point  $x \in F$  in the first copy and  $x^2$  is the point  $x \in F$  in the second copy, and being ( $\delta$  means boundary)

$$\begin{aligned} (\delta(F \times [-1, 1] \times [0, 1/2)) - F \times (-1, 1) \times \{0\} = \\ F \times \{-1, 1\} \times [0, 1/2) \cup (F) \times (-1, 1) \times \{1/2\} \end{aligned}$$

we identify

$$(x^1, -1, t) \in (F \times \{-1\} \times [0, 1/2)) \text{ with } (x^2, 1, t) \in (F \times \{1\} \times [0, 1/2))$$

and

$$(x^1, 1, t) \in (F \times \{1\} \times [0, 1/2)) \text{ with } (x^2, -1, t) \in (F \times \{-1\} \times [0, 1/2))$$

We also identify  $(x^1, s, 1/2)$  with  $(x^2, -s, 1/2) \quad \forall x \in F \times (-1, 1) \times \{1/2\}$ .

The boundary of  $B_\sigma$  is the disjoint union of  $M$  and two copies of  $N$ .

By a Mayer-Vietoris sequence [D]:

$$\begin{aligned} \rightarrow H_2(F) \rightarrow H_2(N \times I) \oplus H_2(N \times I) \rightarrow H_2(B_\sigma) \rightarrow \\ \rightarrow H_1(F) \rightarrow H_1(N \times I) \oplus H_1(N \times I) \rightarrow H_1(B_\sigma) \rightarrow \\ \rightarrow H_0(F) \rightarrow H_0(N \times I) \oplus H_0(N \times I) \rightarrow H_0(B_\sigma) \rightarrow 0. \end{aligned}$$

we get

$H_1(B_\sigma)Z_2 = 0$  and  $H_2(B_\sigma)$  is a free abelian group with  $2g$  generators, where every generator corresponds to an  $a_i$ , generator of  $H_1(F)$ .

If the quadratic form in  $H_2(B_\sigma)$  is even,  $\mu M = (-\frac{1}{16}\sigma(B_\sigma) + 2\mu N) \text{ mod } 1$ .

We write now how the elements of  $H_2(B_\sigma)$  determined by nulhomologous closed curves in  $F$  are:

We call  $[a]$  the element of  $H_2(B_\sigma)$  determined by  $a$ , representative closed curve from  $H_1(F)$ , nulhomologous in  $N$ , which bounds a Seifert surface  $F_a \subset N$ ;

Given a closed curve  $a \subset F \subset N$ , we call

$$a^+ = a \times \{1\} \subset F \times \{1\} \subset \delta(\text{bicollar}(F)) \subset N$$

and  $F_{a^+} \subset N$  the Seifert surface of  $a^+$

$$a^- = a \times \{-1\} \subset F \times \{-1\} \subset \delta(\text{bicollar}(F)) \subset N$$

and  $F_{a^-} \subset N$  the Seifert surface of  $a^-$

We denote by  $F_{a^+}^1 \subset N$ , the Seifert surface of  $a^+$  in the first copy of  $N \times I$ , at any level  $\{t\}$  and by  $F_{a^+}^2 \subset N$ , the Seifert surface of  $a^+$  in the second copy, at any level, ( $F_{a^+} \subset N \subset N \times I$ ).

Then,

$$[a] = F_{a^+}^1 \times \{1/2\} \cup a^+ \times [0, 1/2) \cup a^- \times [0, 1/2) \cup F_{a^-}^2 \times \{1/2\}$$

and also,

$$[a] = F_{a^-}^1 \times \{3/4\} \cup a^- \times [0, 3/4) \cup a^+ \times [0, 3/4) \cup F_{a^+}^2 \times \{3/4\}.$$

Then, we have for a pair  $([a_i], [a_j])$ , where  $a_i, a_j$  are closed curves in  $F$ , generators of  $H_1(F)$ , nulhomologous in  $N$ :

$$\begin{aligned} [a_i] \cdot [a_j] &= \\ & (F_{a_i^+}^1 \times \{1/2\} \cup a_i^+ \times [0, 1/2) \cup a_i^- \times [0, 1/2) \cup F_{a_i^-}^2 \times \{1/2\}) \cap \\ & (F_{a_j^-}^1 \times \{3/4\} \cup a_j^- \times [0, 3/4) \cup a_j^+ \times [0, 3/4) \cup F_{a_j^+}^2 \times \{3/4\}) = \\ & = F_{a_i^+}^1 \times 1/2 \cap a_j^- \times 1/2 + F_{a_i^-}^2 \times 1/2 \cap a_j^+ \times 1/2 = \\ & \quad \text{(here } lk \text{ means linking number)} \\ & = lk(a_i^+, a_j^-) + lk(a_i^-, a_j^+) = lk(a_i^+, a_j) + lk(a_i, a_j^+) \end{aligned}$$

The intersection quadratic form matrix in  $H_2(B_\sigma)/Tor$  is, then, given by a matrix whose entries are:

$$(lk(a_i^+, a_j) + lk(a_j^+, a_i)).$$

so, it is even.

Now we prove that this matrix has signature zero, because the knot  $K_\sigma$  is amphicaeiral:

In fact, as the knot  $K$  verifies  $h(K) = -K$ , the bordism  $B_\sigma$  can be constructed also by doing the double cover of  $N \times I$  branched over  $h(F) \times [0, 1/2)$ . Then, another matrix for the intersection quadratic form  $Q$  in  $B_\sigma$  can be calculated from the basis  $\{h(a_1), h(a_2), \dots, h(a_{2g-1}), h(a_{2g})\} \subset h(F)$ , (which gives a different basis of  $H_2(B_\sigma)$ ), and, as  $(h(a))^+ = h(a^-)$  for every curve in  $F$ , because  $h$  reverses orientation; we have:

$$\begin{aligned} lk(h(a_i))^+, h(a_j)) &= lk(h(a_i^-), h(a_j)) = \\ &= -lk(a_i^-, a_j) = -lk(a_i, a_j^+) = -lk(a_j^+, a_i) \end{aligned}$$

$$\begin{aligned} lk(h(a_j))^+, h(a_i)) &= lk(h(a_j^-), h(a_i)) = \\ &= -lk(a_j^-, a_i) = -lk(a_j, a_i^+) = -lk(a_i^+, a_j) \end{aligned}$$

By adding, we get as matrices for  $Q$  two opposite matrices which should have the same signature, therefore, zero.

Then,  $\mu M = 0 + 2\mu N = 0$ , (since  $N$  is a  $\mathbb{Z}$ -homology sphere with a reversing orientation selfhomeomorphism,  $\mu N = 0$  or  $1/2$ ). Therefore,  $\mu M$  is zero.

We get also that:

**The  $\mu$ -invariant of an 3-dimensional  $\mathbb{Z}$ -homology sphere  $M$  with a periodic reversing orientation selfhomeomorphism  $h$  of period  $2^r$ ,  $r > 1$  is zero.**

Proof:

In fact, what we have proved along the previous process is that the  $\mu$ -invariant of a  $\mathbb{Z}$ -homology sphere which is a two branched cover over an amphichaeiral knot in a symmetric  $\mathbb{Z}$ -homology sphere is zero.

The manifold  $M$  in the enunciation is a manifold which is a two branched cover over an amphichaeiral knot  $K = \{x|h^{r-1}(x) = x\}$  by Smith theory [F] amphichaeiral for  $h$ , because it is kept by  $h$ , and contains the fixed points of  $h$ , in a symmetric  $\mathbb{Z}$ -homology sphere:  $M/h^{2^{r-1}}$ .

We have got, together with the first result from Birman [B], Galewski and Stern [G], Hsiang and Pao [H], that **The  $\mu$ -invariant of a 3-dimensional  $\mathbb{Z}$ -homology sphere  $M$  with a periodic reversing orientation self-homeomorphism  $h$  whose period is any power of 2, is zero.**

Then, we can settle that:

**The  $\mu$ -invariant of a 3-dimensional  $\mathbb{Z}$ -homology sphere  $M$  with a periodic reversing orientation selfhomeomorphism  $h$  is zero.**

This result follows now from the consideration that any number  $n$  bigger than 2 can be written  $n = m2^r$  where  $m$  is an odd number and  $r > 1$ . Then  $h^m$  is a reversing orientation selfhomeomorphism with period  $2^r$ ,  $r > 1$ , in  $M$ .

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