

# The $\mu$ -invariant of symmetric $Z_2$ -homology spheres.

By Lucía Contreras Caballero.

We prove here in a progressive way that:

**The  $\mu$  invariant of a 3-dimensional  $Z_2$ -homology sphere with a periodic reversing orientation selfhomeomorphism whose period is bigger than two is zero.**

Recall that the  $\mu$ -invariant of a 3-dimensional  $Z_2$ -homology sphere  $M$  is defined by using an acycled 4-dimensional manifold  $W^4$ , whose boundary is  $M$ , by means of the signature of the intersection quadratic form in  $H_2(W^4)$ :

$$\mu M = -\frac{\sigma W^4}{16} \pmod{1}$$

We can establish that the  $\mu$ -invariant of a 3-dimensional  $Z_2$ -homology sphere with a reversing orientation selfhomeomorphism is zero or  $1/2$ , since in this case, the connected sum of  $N$  with  $N$  is equal to the connected sum of  $N$  with  $-N$  which is the boundary of  $(N - B^3) \times I$ , 4-dimensional manifold with null quadratic intersection form, so  $2\mu N = 0$ .

It was proved by Birman and also by Galewski and Stern and by Hsiang and Pao, separately, that the  $\mu$ -invariant of a 3-dimensional  $Z_2$ -homology sphere  $M$  with a periodic reversing orientation selfhomeomorphism  $h$  of period 2 is zero.

We see here that the  $\mu$ -invariant of  $M$  is zero when the period of  $h$  is bigger than two.

First we prove:

**The  $\mu$ -invariant of a 3-dimensional  $Z_2$ -homology sphere  $M$  with a periodic reversing orientation selfhomeomorphism  $h$  of period four is zero.**

Proof:

The set of fixed points of  $h$  is formed by two separate points and the fixed points set of  $h^2$  is a knot, by Smith theory, when  $M$  is a  $Z$ homology sphere. This knot contains the two fixed points of  $h$ , and the selfhomeomorphism  $h$  leaves invariant the knot, reversing its orientation. The same is true when  $M$  is a  $Z_2$ -homology sphere; we do the proof:

Let's call  $N = M/h^2$ , then  $N$  is also a  $Z_2$ -homology sphere. The self-homeomorphism  $h$  projects to a selfhomeomorphism  $h$  in  $N$ , that we will design with the same letter because there is no place to confusion.

$M$  is the double cover of  $N$ , branched over the knot  $K$ , (amphicaeiral by  $h$ ).

When  $M$  is a  $Z_2$ -homology sphere,  $N = M/h^2$  is also a  $Z_2$ -homology sphere, and  $H_1(N) = Z_{2m_1+1} \oplus Z_{2m_2+1} \oplus \cdots \oplus Z_{2m_r+1}$ , for some integer numbers:  $m_1, m_2, \cdots, m_r$ .

Our knot  $K$  does not necessarily bounds a bicollared Seifert surface in  $N$ , if it is not nulhomologous, but some odd multiple of  $K$ :  $((2s+1)K)$  is nulhomologous and making the connected sum  $\sum N$  of  $2s+1$  copies of  $N$  with itself, by the fixed points of  $h$ , in such a way that the connected sum is compatible with  $h$ , we get also the connected sum of  $K$  with itself  $2s+1$  times, which is nulhomologous in  $\sum N$  and we do the proof:

We call  $\sum N$  the connected sum of  $2s+1$  copies of  $N$ . The manifold  $\sum N$  is also a  $Z_2$ -homology sphere, with a reversing orientation selfhomeomorphism, so its  $\mu$ -invariant is zero or  $1/2$ . We call  $K_\sigma$  the knot connected sum of  $2s+1$  copies of  $K$ ; (the knot  $K_\sigma$  is amphicaeiral for  $h$ ).

The connected sum of  $M$  with itself  $2s+1$  times ( $\sum M$ ), is a double cover of  $\sum N$  branched over  $K_\sigma$ .

We construct a bordism  $B_\sigma$  between  $\sum M$  and two disjoint copies of  $\sum N$ , by considering  $(\sum N) \times I$ , and making the 2-cover  $B_\sigma$  of  $(\sum N) \times I$ , branched over  $F \times [0, 1/2)$ , from two copies of

$$\sum N \times I - bicollar(F \times [0, 1/2)) \approx \sum N \times I - F \times [-1, 1] \times [0, 1/2),$$

by identifying in the copies, in a crossed way, the boundaries of

$$bicollar((F \times [0, 1/2))less(F - K_\sigma) \times (-1, 1) \times \{0\} :$$

If  $x^1$  is the point  $x \in F$  in the first copy and  $x^2$  is the point  $x \in F$  in the second copy, ( $\delta$  meaning boundary) and being

$$(\delta(F \times [-1, 1] \times [0, 1/2)) - (F - K_\sigma) \times (-1, 1) \times \{0\} = F \times \{-1, 1\} \times [0, 1/2) \cup (F) \times (-1, 1) \times \{1/2\}$$

we identify

$(x^1, -1, t) \in (F \times \{-1\} \times [0, 1/2))$  with  $(x^2, 1, t) \in (F \times \{1\} \times [0, 1/2))$

and

$(x^1, 1, -s) \in (F \times \{1\} \times [0, 1/2))$  with  $(x^2, -1, s) \in (F \times \{-1\} \times [0, 1/2))$

We identify also  $(x^1, s, 1/2)$  with  $(x^2, -s, 1/2) \quad \forall x \in F \times (-1, 1) \times \{1/2\}$ .

The boundary of  $B_\sigma$  is the disjoint union of  $\sum M$  and two copies of  $\sum N$ .

By a Mayer-Vietoris sequence,  $H_2(B_\sigma)$  is a direct sum of  $H_2(N)$  with itself  $2(2s + 1)$  times plus a free abelian group of  $2g$  generators, where every generator corresponds to a  $c_i$ , generator of  $H_1(F)$ , for which, some  $(2n_i + 1)c_i$  is nulhomologous,

We write now how are the elements of  $H_2(B_\sigma)$  determined by nulhomologous closed curves contained in  $F$ , with Seifert surface in  $\sum N$  :

We call  $[a]$  the element of  $H_2(B_\sigma)$  determined by  $a$ , representative closed curve from  $H_1(F)$ , nulhomologous in  $\sum N$ , which bounds a Seifert surface  $F_a \subset \sum N$ ;

Given a closed curve  $a \subset F \subset \sum N$ , we call

$a^+ = a \times \{1\} \subset F \times \{1\} \subset bicollar(F) \subset \sum N$  and  $F_{a^+} \subset \sum N$  the Seifert surface of  $a^+$

$a^- = a \times \{-1\} \subset F \times \{-1\} \subset bicollar(F) \subset \sum N$ , and  $F_{a^-} \subset \sum N$  the Seifert surface of  $a^-$

We denote by  $F_{a^+}^1 \subset \sum N$ , the Seifert surface of  $a^+$  in the first copy of  $N \times I$ , at any level  $\{t\}$  and by  $F_{a^+}^2 \subset \sum N$ , the Seifert surface of  $a^+$  in the second copy, ( $F_{a^+} \subset \sum N \subset \sum N \times I$ ).

Then,

$$[a] = F_{a^+}^1 \times \{1/2\} \cup a^+ \times [0, 1/2) \cup a^- \times [0, 1/2) \cup F_{a^-}^2 \times \{1/2\}$$

and also,

$$[a] = F_{a^-}^1 \times \{3/4\} \cup a^- \times [0, 3/4) \cup a^+ \times [0, 3/4) \cup F_{a^+}^2 \times \{3/4\}.$$

Then, we have for a pair  $([a_i] = [(2n_i + 1)c_i], [a_j] = (2n_j + 1)c_j)$ , where  $a_i, a_j$  are closed curves in  $F$ , generators of  $H_1(F)$ , nulhomologous in  $\sum N$ :

$$\begin{aligned}
& [a_i] \cap [a_j] = \\
& (F_{a_i^+}^1 \times \{1/2\} \cup a_i^+ \times [0, 1/2) \cup a_i^- \times [0, 1/2) \cup F_{a_i^-}^2 \times \{1/2\}) \cap \\
& (F_{a_j^-}^1 \times \{3/4\} \cup a_j^- \times [0, 3/4) \cup a_j^+ \times [0, 3/4) \cup F_{a_j^+}^2 \times \{3/4\}) = \\
& \quad (\text{lk meaning linking number}) \\
& = lk(a_i^+, a_j^-) + lk(a_i^-, a_j^+) = lk(a_i^+, a_j) + lk(a_i, a_j^+)
\end{aligned}$$

The intersection quadratic form matrix in  $H_2(B_\sigma)$  is, then, given by a matrix whose entries are:

$$\begin{aligned}
& (lk(a_i^+, a_j) + lk(a_j^+, a_i)) = \\
& = (lk((2n_i + 1)c_i^+, (2n_j + 1)c_j) + lk((2n_j + 1)c_j^+, (2n_i + 1)c_i)).
\end{aligned}$$

Now we prove that this matrix has signature zero, because the knot  $K_\sigma$  is amphicaeiral:

In fact, as the knot  $K$  verifies  $h(K) = -K$ , the bordism  $B_\sigma$  can be constructed also by doing the double cover of  $N \times I$  branched over

$h(F) \times [0, 1/2)$ . Then, another matrix for the intersection quadratic form  $Q$  in  $B_\sigma$  can be calculated from the basis  $\{h(c_1), h(c_2), \dots, h(c_{2g-1}), h(c_{2g})\} \subset h(F)$ , (which gives a different basis of  $H_2(B_\sigma)$ ), and, as  $(h(a))^+ = h(a^-)$  for every curve in  $F$ , because  $h$  reverses orientation, we have:

$$\begin{aligned}
lk(h(a_i))^+, h(a_j)) &= -lk(h(a_i^-), h(a_j)) = -lk(a_i^-, a_j) = -lk(a_i, a_j^+) = \\
&= -lk(a_j^+, a_i)
\end{aligned}$$

$$\begin{aligned}
lk(h(a_j))^+, h(a_i)) &= -lk(h(a_j^-), h(a_i)) = -lk(a_j^-, a_i) = -lk(a_j, a_i^+) = \\
&= -lk(a_i^+, a_j)
\end{aligned}$$

By adding the previous terms, we get as matrices for  $Q$  two opposite matrices which should have the same signature, therefore, zero.

Then, the  $\mu$ -invariant of  $\sum M$  is equal to the signature of the intersection quadratic form in  $H_2(B_\sigma)$  plus  $2\mu$ -invariant  $\sum N = 0$ , because  $\sum N$

is  $Z_2$ -homology sphere with a reversing orientation selfhomeomorphism, ( $\mu \sum N = 0$  or  $1/2$ ), so

$$0 = \mu \sum M = (2s + 1)\mu M \implies \mu M = 0$$

because M is  $Z_2$ -homology sphere with a reversing orientation selfhomeomorphism, ( $\mu M = 0$  or  $1/2$ ) therefore, the  $\mu$ -invariant is defined module 1.

In an analogous way, we can prove that:

**The  $\mu$ -invariant of a 3-dimensional  $Z_2$ -homology sphere M with a periodic reversing orientation selfhomeomorphism  $h$  of period  $2^3$  is zero.**

Proof:

The set of fixed points of  $h$  is formed by two separate points and the fixed points set of  $h^4$  is a knot by Smith theory, when M is a  $Z_2$ -homology sphere. This knot contains the two fixed points of  $h$ , and the selfhomeomorphism  $h$  leaves invariant the knot, reversing its orientation.

Let's call  $N = M/h^4$ . The selfhomeomorphism  $h$  projects to a selfhomeomorphism  $h$  in N, that we will design with the same letter because there is no place to confusion.

When M is a  $Z_2$ -homology sphere,  $N = M/h^4$  also is a  $Z_2$ -homology sphere. M is a double cover of N, branched over the knot K.

Repeating the previous procedure for M y N, we get that the  $\mu$ -invariant of M is zero.

With the same procedure we get that:

**The  $\mu$ -invariant of an 3-dimensional  $Z_2$ -homology sphere M with a periodic reversing orientation selfhomeomorphism  $h$  of period  $2^r$ ,  $r > 1$  is zero.**

For that, we consider  $N = M/h^{2^{r-1}}$  and repeat the previous procedure.

We have got, together with the first result from Birman, Galewski and Stern, Hsiang and Pao, that **The  $\mu$ -invariant of a 3-dimensional  $Z_2$ -homology sphere M with a periodic reversing orientation selfhomeomorphism  $h$  whose period is any power of 2, is zero.**

Then, we can settle that:

**The  $\mu$ -invariant of a 3-dimensional  $Z_2$ -homology sphere  $M$  with a periodic reversing orientation selfhomeomorphism  $h$  is zero.**

This result follows now from the consideration that any number  $n$  bigger than 2 can be written  $n = m2^r$  where  $m$  is an odd number and  $r > 1$ . Then  $M$  has  $h^m$ , a reversing orientation selfhomeomorphism with period  $2^r$ .

#### REFERENCES.

[B]J. S. BIRMAN, 'Orientation reversing involutions on 3-manifolds' Preprint, Columbia University, (1978).

[C]S.E. CAPPELL and J.L. SHANESON, 'Branched cyclic coverings', Knots, groups and 3-manifolds. Annals of Mathematics Studies 84 (1975), pp. 165-173.

[Co]L. CONTRERAS CABALLERO, 'Periodic transformations in homology 3-spheres and the Ronlin invariant'. Notices of the Amer. Math. Soc. October 1979, p. A-530.

[D]A. DOLD, Lectures on Algebraic Topology. Springer-Verlag. Berlin, Heidelberg, New York, 1972.

[DK]A. DURFEE and L. KAUFFMAN, 'Periodicity of branched cyclic covers', Math. Ann. 218 (1975), pp. 157-174.

[E]J. EELLS and K:H. KUIPER, 'An invariant for certain smooth manifolds', Ann. Mat. Pur Appl. (4) 60(1972), pp. 93-110.

[F]E.E. FLOYD, 'Periodic maps via Smith theory', Seminar on Transformation Groups. Annals of Mathematics Studies 46 (1960), pp. 35-47.

[G]D. GALEWSKI and R. STERN, 'Orientation reversing involutions on homology 3-spheres', Math. Proc. Cam. Phil. Soc. 85 (1979), pp.449-451.  
——— 'Classification of Simplicial Triangulations of topological manifolds', Bull. Amer. Math. Soc. 82 (1976), pp. 916-918.

[Go]C. Mc. GORDON, 'Some aspects of classical knot theory', Knot theory: Plans sur Bex, Switzerland, 1977. Springer Lecture Notes in Math. 685 (1978), pp. 1-65.

————— 'Knots, homology spheres and contractible manifolds', *Topology* 14 (1975), pp. 151-172.

[H]W.C. HSIANG and P.S. PAO, 'Orientation reversing involutions on homology 3 spheres', *Notices Amer. Math. Soc.* 26, February 1979. p.A-251.

[Kf]L. KAUFFMAN, 'Branched coverings, open books and knot periodicity', *Topology* 13 (1974) pp. 143-160.

[Kw]A. KAWAUCHI, 'On three manifolds admitting orientation reversing involution' Preprint I.A.S. Princeton, (1979).

————— 'Vanishing of the Rohlin invariant of some  $Z_2$ -homology 3-spheres, Preprint I.A.S. Princeton (1979).

[KN]S. KOBAYASHI and K. NOMIZU, *Foundations of Differential Geometry*. Springer-Verlag. Berlin, Heidelberg, New York, 1969.

[Ko]S. KOBAYASHI, *Transformation Groups in Differential Geometry*. Springer-Verlag. Berlin, Heidelberg, New York, 1972.

[L]J. LEVINE, 'Invariant of knot cobordism', *Inventiones Math.* 8 (1969), pp. 98-110 and 355.

[M]A. MARDEN, 'The geometry of finitely generated Kleinian groups', *Ann. of Math.* 99 (1974), pp. 383-462.

[Mi]J. MILNOR, 'Infinite cyclic coverings', *Conference on the Topology of Manifolds* Prindle, Weber, and Smidt, Boston, Mass. (1968), pp.115-133.

[MH]J. MILNOR and D. HUSEMOLLER, 'Symmetric bilinear forms. Springer-Verlag, Berlin, Heidelberg, New York, 1973.

[Mo]G.D. MOSTOW, 'Strong rigidity of Locally Symmetric Spaces', *Ann. of Math. Study*, 78, 1976 Princeton Univ. Press.

[Nm]W. NEUMANN, 'Cyclic suspension of knots and periodicity of signature for singularities', *Bull. Amer. Math. Soc.* 80, pp 977-981, 1974.

[Nw]L.P. NEUWIRTH, 'Knot groups', *Annals of Math. Studies* 56, Princeton, 1965.

[R]W.A. ROHLIN, 'New results in the theory of four dimensional manifolds', Dokl. Acad. Nauc. SSRR 84 (1952), pp. 221-224.

[S]L. SIEBEMANN, 'On vanishing of the Rochlin invariant and non-finitely amphichaeiral homology 3-spheres, Topology Symposium Siegen, 1979, Springer Lecture Notes in Math. 788 (1980), pp. 172-222

[T]W. THURSTON, 'The Geometry and Topology of 3-manifolds', Preprints, Princeton University, (1978).

[Tr]A.G. TRISTRAM, 'Some cobordism invariants for links', Proc. Cambridge Phil. Soc. 66 (1969), pp. 251-264.

[W]F. WALDHAUSEN, 'On irreducible 3-manifolds that are sufficiently large', Ann. of Math. 87 (1968), pp. 56-58.

Lucía Contreras Caballero. Baeza (Jaén) Spain.