## ON THE CONE MULTIPLIER IN $\mathbb{R}^3$

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# The cone multiplier in $\mathbb{R}^3$

 $\bullet$  The cone multiplier of order  $\alpha$ 

$$\widehat{\mathcal{C}^{\alpha}f}(\xi,\tau) = \left(1 - \frac{|\xi|^2}{\tau^2}\right)_+^{\alpha} \phi(\tau)\,\widehat{f}(\xi,\tau), \ \ (\xi,\tau) \in \mathbb{R}^2 \times \mathbb{R},$$

where  $\phi \in C_c^{\infty}[1/2, 2]$ .

ullet Cone multiplier conjecture (Stein, 1978): For  $1 \leq p \leq \infty$ 

$$\|\mathcal{C}^{\alpha}f\|_{L^{p}(\mathbb{R}^{3})}\leq C\|f\|_{L^{p}(\mathbb{R}^{3})},$$

if and only if

$$\alpha > \alpha(p) = \max\left(\left|1 - \frac{2}{p}\right| - \frac{1}{2}, 0\right).$$

- ullet By de Leeuw's restriction theorem this implies the sharp  $L^p$  boundedness of Bochner-Riesz operator.
- When  $\alpha > \frac{1}{2}$ , the kernel is in  $L^1$ . By Plancherel's theorem  $\mathcal{C}^{\alpha}$  is bounded on  $L^2$  for any  $\alpha > 0$ .
- Especially, when  $\frac{4}{3} \le p \le 4$ ,  $\alpha > 0$  is necessary.

• By finite decomposition and rotation (in the previous definition) we may assume that  $\widehat{f}$  is supported in a small neighborhood of (1,0,1). By a linear change of variables  $(\xi_2,\xi_1-\tau,\xi_1+\tau) \to (\eta,\tau,\rho)$ 

$$\widehat{C^{\alpha}f}(\eta,\tau,\rho) = (\tau - \eta^2/\rho)_+^{\alpha}\phi(\eta,\tau,\rho)\widehat{f}(\eta,\tau,\rho), \quad \eta,\tau,\rho \in \mathbb{R},$$

and  $\phi$  is a smooth function supported in a small neighborhood of  $2e_3 = (0, 0, 2)$ .

ullet Let  $0<\delta\ll 1$  and let  $\psi\in \mathcal{C}^\infty_c[1/2,4]$  and define an operator  $\mathcal{C}_\delta$  by

$$\widehat{C_{\delta}f}(\eta,\tau,\rho) = \psi(\frac{\tau-\eta^2/\rho}{\delta})\widehat{f}(\eta,\tau,\rho).$$

• Sharp bound for  $C_\delta$ : For  $\epsilon > 0$ ,

$$||C_{\delta}f||_{p} \leq C\delta^{-\alpha(p)-\epsilon}||f||_{p}$$

 $\text{for }\widehat{f}\text{ supported in the set }\{\left(\eta,\tau,\rho\right):\rho\in[2^{-2},2^2],\ |\eta/\rho|\leq 2^2\}.$ 

•  $(\tau - \eta^2/\rho)_+^{\alpha} = \sum_{\delta:dvadic} \delta^{\alpha} \psi(\frac{\tau - \eta^2/\rho}{\delta})$  by a proper choice of  $\psi$ .

## Mockenhaupt's square function and Wolff's mixed norm estimates

Let  $\phi \in C_c^{\infty}[-1,1]$  satisfying  $\sum_{k \in \mathbb{Z}} \phi(k-\cdot) = 1$ . For  $\nu \in \sqrt{\delta}\mathbb{Z} \cap [-1,1]$ , define a projection operator by

$$\widehat{S^{\nu}f} = \phi \Big( \frac{\nu - \eta/\rho}{\sqrt{\delta}} \Big) \widehat{f}.$$

• Sharp square function estimate:

$$\| \sum_{\nu} C_{\delta} S^{\nu} f \|_{\rho} \leq C \delta^{-\alpha(\rho)/2-\epsilon} \| (\sum_{\nu} |C_{\delta} S^{\nu} f|^{2})^{\frac{1}{2}} \|_{\rho}.$$

•  $2 \le p \le \infty$ . It was mostly studied with p=4. We will show the sharp bound for p=3. Square function can be controlled by Kakeya maximal bounds due to Cordoba:

$$\|(\sum_{\nu}|C_{\delta}S^{\nu}f|^2)^{\frac{1}{2}}\|_{p}\lesssim \delta^{-\alpha(p)/2-\epsilon}\|f\|_{p}.$$

• Mixed norm estimate: (only possible for  $p \ge 6$ )

$$\|\sum_{\nu} C_{\delta} S^{\nu} f\|_{p} \leq C \delta^{-\alpha(p)-\epsilon} \| \left(\sum_{\nu} |C_{\delta} S^{\nu} f|^{p}\right)^{\frac{1}{p}} \|_{p}.$$

## Progresses

L<sup>4</sup> boundedness

► (Mockenhaupt, 1993)

$$\alpha > \frac{1}{8}$$

Square function and orthogonality in spirit of Fefferman's proof of

Bochner-Riesz and Kakeya maximal estimates

▶ (Bourgain, 1995)

$$\alpha > \frac{1}{8} - \epsilon$$

$$\alpha > \frac{1}{8} - \frac{1}{476}$$

► (Tao-Vargas, 2000)

Systematic bilinear approach

▶ (Wolff, 2001)

$$\alpha > \frac{1}{8} - \frac{1}{88}$$

• Sharp  $L^p$  boundedness

▶ (Wolff, 2000)

Mixed norm inequality associated with plate decomposition along with conical sector

► (Garrigós-Seeger, 2009)

$$p > 63 + 1/3$$

► (Garrigós-Schlag-Seeger)  $p > 20 \& L^4$  bound,  $\alpha > \frac{1}{6}$ 

$$p>20$$
 &  $L^4$  bound,  $lpha>rac{1}{9}$ 

### Theorem (L-Vargas)

Suppose that  $\hat{f}$  is supported in B(2e<sub>3</sub>, 1). Then, for  $\epsilon > 0$ ,

$$\|\sum_{\nu} C_{\delta} S^{\nu} f\|_{3} \leq C_{\epsilon} \delta^{-\epsilon} \|(\sum_{\nu} |C_{\delta} S^{\nu} f|^{2})^{\frac{1}{2}} \|_{3}.$$

 $\begin{tabular}{ll} \bullet & Multilinear restriction estimate (Bennett-Carbery-Tao) \\ & + & Adaptation of Bourgain-Guth argument \\ \end{tabular}$ 

#### **Theorem**

For  $\frac{3}{2} \leq p \leq 3$ ,  $\|\mathcal{C}^{\alpha} f\|_{p} \leq C \|f\|_{p}$  provided that  $\alpha > 0$ .

• Local smoothing conjecture for the wave equation: For  $p \ge 2$ , if  $\beta > \alpha(p)$ ,

$$\|e^{it\sqrt{-\Delta}}f\|_{L^p_{x,t}([1,2]\times\mathbb{R}^2)}\leq C\|f\|_{L^p_{\beta}}.$$

• Combining square function estimate and a stronger version of Kakeya maximal bound due to Mokenhaupt- Seeger-Sogge,

### Corollary

Let d=2 and  $2 \le p \le 3$ . Then the above holds for all  $\beta > 0$ .



# Bourgain-Guth argument: mild scale analysis

- Let  $\mathcal{A}(\lambda) = \{(\eta, \tau, \rho) : \rho \in [1/2, 7/2], |\eta/\rho| \le \lambda\}.$
- ullet For 0 <  $\delta$   $\ll$  1, define  $\mathfrak{S}(\delta)$  to be the best constant for which

$$\| \sum_{\nu} C_{\delta} S^{\nu} f \|_{3} \leq \mathfrak{S}(\delta) \| (\sum_{\nu} |C_{\delta} S^{\nu} f|^{2})^{\frac{1}{2}} \|_{3}$$

whenever supp  $\widehat{f} \subset \mathcal{A}(2)$ . Trivially  $\mathfrak{S}(\delta) \leq C\delta^{-\frac{1}{4}}$ . We aim to show

$$\mathfrak{S}(\delta) \leq C\delta^{-\epsilon}, \quad \epsilon > 0.$$

- Bourgain-Guth argument for square function estimate
  - Multilinear (trilinear) version of square function estimate
  - ightharpoonup Smaller Fourier support ightarrow improvement (by rescaling and stability)
- ▶ Decomposition: bound the operator with trilinear terms with transversality while remaining parts has relatively small Fourier supports. *The decomposition here is much simpler because there are one parameter directional sets.*

### Transversality of conic sectors

 $\bullet$  Let  $\Gamma$  be a subset of the cone given by

$$\Gamma = \{(\eta, \tau, \rho) : \tau = \eta^2/\rho, \ \rho \in [3/2, 5/2], |\eta/\rho| \le 3\}.$$

Define  $\theta: \mathbb{R} \times \mathbb{R} \times \mathbb{R}_+ \to \mathbb{R}$  by  $\theta(\eta, \tau, \rho) = \eta/\rho$ . Identifying  $\theta = \eta/\rho$  as an angular variable of  $(\eta, \tau, \rho)$ ,

$$\Gamma = {\rho(\theta, \theta^2, 1) : \rho \in [3/2, 5/2], |\theta| \le 3}.$$

ullet The normal vector to  $\Gamma$  at  $(\eta, au, 
ho) = 
ho( heta, heta^2, 1)$  is parallel to

$$(2\eta/\rho, -1, -\eta^2/\rho^2) = (2\theta, -1, -\theta^2).$$

Given three points  $(\eta_i, \tau_i, \rho_i) \in \Gamma, i = 1, 2, 3$ , with angular variables  $\theta_i = \eta_i/\rho_i$ ,

$$\det \begin{pmatrix} 2\theta_1 & -1 & -\theta_1^2 \\ 2\theta_2 & -1 & -\theta_2^2 \\ 2\theta_3 & -1 & -\theta_3^2 \end{pmatrix} = 2(\theta_1 - \theta_3)(\theta_1 - \theta_2)(\theta_2 - \theta_3).$$

ullet Three conical sectors are mutually transversal as long as they are are angularly separated. Hence it is possible to make use of the multilinear (trilinear) restriction estimate of Bennett-Cabery-Tao. Denote by  $d\sigma$  the induced Lebesgue measure in  $\Gamma$ .

### Proposition

Let  $\Gamma_1$ ,  $\Gamma_2$ , and  $\Gamma_3$  be subsets of  $\Gamma$  and  $\epsilon_\circ > 0$ . Suppose that  $\theta(\Gamma_1)$ ,  $\theta(\Gamma_2)$  and  $\theta(\Gamma_3)$  are mutually separated by a distance  $\gtrsim \epsilon_\circ > 0$ . Let  $R \gg \epsilon_\circ^{-1}$ . Then, for  $\epsilon > 0$ , there is a constant  $C_\epsilon = C_\epsilon(\epsilon_\circ)$  such that

$$\|\prod_{i=1}^{3}\widehat{g_id\sigma}\|_{L^1(B_R)}\leq C_{\epsilon}R^{\epsilon}\prod_{i=1}^{3}\|g_i\|_2$$

whenever  $g_i$  is supported in  $\Gamma_i$ , i = 1, 2, 3.

Suppose that  $\widehat{F}_i$  is supported in  $\Gamma_i + O(R^{-1})$ , i = 1, 2, 3. Then, for  $\epsilon > 0$  there is a constant  $C_{\epsilon} = C_{\epsilon}(\epsilon_{\circ})$  such that

$$\|\prod_{i=1}^{3} F_{i}\|_{L^{1}(B_{R})} \leq C_{\epsilon} R^{-\frac{3}{2}} R^{\epsilon} \prod_{i=1}^{3} \|F_{i}\|_{2}.$$

• Putting  $\delta = R^{-1}$ ,

#### Lemma

Let  $1 \gg \epsilon_{\circ} \gg \delta > 0$ . Suppose that  $\widehat{f_1}$ ,  $\widehat{f_2}$ , and  $\widehat{f_3}$  are supported in  $\mathcal{A}(3)$ . If  $\theta(\operatorname{supp}\widehat{f_1})$ ,  $\theta(\operatorname{supp}\widehat{f_2})$ , and  $\theta(\operatorname{supp}\widehat{f_3})$  are mutually separated by a distance  $\gtrsim \epsilon_{\circ}$ , then for  $\epsilon > 0$  there is a constant  $C_{\epsilon} = C_{\epsilon}(\epsilon_{\circ})$  such that

$$\|\prod_{i=1}^3 C_\delta f_i\|_{L^1} \leq C_\epsilon \delta^{\frac{3}{2}-\epsilon} \prod_{i=1}^3 \|f_i\|_2.$$

### Proposition

Under the same assumption as above, for  $\epsilon>0$  there is a constant  $C_{\epsilon}=C_{\epsilon}(\epsilon_{\circ})$  such that

$$\Big\|\prod_{i=1}^3(\sum_{\nu}C_{\delta}S^{\nu}f_i)\Big\|_{L^1}\leq C_{\epsilon}\delta^{-\epsilon}\prod_{i=1}^3\Big\|(\sum_{\nu}|C_{\delta}S^{\nu}f_i|^2)^{\frac{1}{2}}\Big\|_{L^3}.$$

• Let  $\psi$  be a Schwartz function such that  $\psi \geq 1$  on B(0,1) with its Fourier transform supported in B(0,1). Let  $R=\delta^{-1}$  and set

$$\psi_{z} = \psi\left(\frac{\cdot - z}{\sqrt{R}}\right).$$

Let  $z_0 \in \mathbb{R}^3$ .  $\mathcal{F}(\psi_{z_0}^2(\sum_{\nu} C_{\delta}S^{\nu}f_i))$  is supported in  $\Gamma_i + O(R^{-1/2})$ 

$$\Big\| \prod_{i=1}^3 \psi_{z_0}^2 (\sum_{\nu} C_{\delta} S^{\nu} f_i) \Big\|_{L^1} \leq C_{\varepsilon} R^{-\frac{3}{4} + \varepsilon} \prod_{i=1}^3 \Big\| \psi_{z_0}^2 (\sum_{\nu} C_{\delta} S^{\nu} f_i) \Big\|_2.$$

• Note that the supports of  $\mathcal{F}(\psi_{z_0}^2 C_\delta S^{\nu} f_i)$  are essentially disjoint. Hence by Hölder's inequality

$$\begin{split} \Big\| \prod_{i=1}^{3} \psi_{z_{0}}^{2} (\sum_{\nu} C_{\delta} S^{\nu} f_{i}) \Big\|_{L^{1}} &\leq C_{\epsilon} R^{-\frac{3}{4} + \epsilon} \prod_{i=1}^{3} \Big\| |\psi_{z_{0}}^{2}| (\sum_{\nu} |C_{\delta} S^{\nu} f_{i}|^{2})^{\frac{1}{2}} \Big\|_{L^{2}} \\ &\leq C_{\epsilon} R^{-\frac{3}{4} + \epsilon} R^{\frac{9}{2} (\frac{1}{2} - \frac{1}{3})} \prod_{i=1}^{3} \Big\| |\psi_{z_{0}}| (\sum_{\nu} |C_{\delta} S^{\nu} f_{i}|^{2})^{\frac{1}{2}} \Big\|_{L^{3}}. \end{split}$$

### Rescaling and translation

By rescaling, smallness of support at Fourier side gives better bound. It is also true for the square function. Precisely,

#### Lemma

Let  $0 < \delta \le \gamma^2 \le 1$ . Suppose that  $\widehat{f}$  is supported in  $\mathcal{A}(2)$  and the diameter of  $\theta(\operatorname{supp} \widehat{f}) \le \gamma$ , then

$$\|\sum_{\nu} C_{\delta} S^{\nu} f\|_{3} \leq \mathfrak{S}(\delta/\gamma^{2}) \|(\sum_{\nu} |C_{\delta} S^{\nu} f|^{2})^{\frac{1}{2}} \|_{3}.$$

For  $\theta \in \mathbb{R}$  and  $\nu \in \sqrt{\delta}\mathbb{Z}$ , let us set

$$\mathcal{F}(S^{\nu,\theta}f) = \phi\Big(\frac{\nu + \theta - \eta/\rho}{\sqrt{\delta}}\Big)\widehat{f}(\eta,\tau,\rho).$$

ullet For any  $heta \in \mathbb{R}$  whenever  $\widehat{f}$  is supported in  $\mathcal{A}(1)$ 

$$\left\| \sum_{\nu} C_{\delta} S^{\nu,\theta} f \right\|_{3} \leq \mathfrak{S}(\delta) \left\| \left( \sum_{\nu} |C_{\delta} S^{\nu,\theta} f|^{2} \right)^{\frac{1}{2}} \right\|_{3}.$$



• Suppose  $\hat{f}$  is supported in  $\mathcal{A}(2)$  and  $\theta(\operatorname{supp} \hat{f}) \subset [\theta_{\circ} - \gamma/2, \theta_{\circ} + \gamma/2]$ . Set

$$\mathcal{T}_{\theta_{\circ},\gamma} = \begin{pmatrix} \gamma & 0 & \theta_{\circ} \\ 2\gamma\theta_{\circ} & \gamma^2 & \theta_{\circ}^2 \\ 0 & 0 & 1 \end{pmatrix}.$$

ullet By the change of variables  $(\eta, \tau, \rho) \to T_{\theta_{\circ}, \gamma}(\eta, \tau, \rho)$ 

$$\widehat{C_{\delta}f}(T_{\theta_{\circ},\gamma}(\eta,\tau,\rho)) = \psi\left(\frac{(\tau-\eta^{2})/\rho}{\delta\gamma^{-2}}\right)\widehat{f}(T_{\theta_{\circ},\gamma}(\eta,\tau,\rho)).$$

and supp  $(\widehat{f} \circ T_{\theta_{\circ},\gamma}) \subset \mathcal{A}(1)$ .

ullet Denoting by  $T^*_{\theta_{\circ},\gamma}$  the adjoint of  $T_{\theta_{\circ},\gamma}$ ,

$$C_{\delta}f(x) = C_{\delta/\gamma^2}(f \circ T_{\theta_{\circ},\gamma}^{*-1})(T_{\theta_{\circ},\gamma}^*x).$$

Changing variables,

$$\begin{split} \| \sum_{\nu} C_{\delta} S^{\nu} f \|_{3} &= |\det T_{\theta_{\circ},\gamma}|^{\frac{1}{3}} \| \sum_{k \in \sqrt{\delta/\gamma^{2}} \mathbb{Z}} C_{\delta/\gamma^{2}} [S^{k,-\gamma^{-1}\theta_{\circ}}] (f \circ T_{\theta_{\circ},\gamma}^{*-1}) \|_{3} \\ &\leq \mathfrak{S}(\delta/\gamma^{2}) |\det T_{\theta_{\circ},\gamma}|^{\frac{1}{3}} \| (\sum_{k \in \sqrt{\delta/\gamma^{2}} \mathbb{Z}} |C_{\delta/\gamma^{2}} [S^{k,-\gamma^{-1}\theta}] (f \circ T_{\theta_{\circ},\gamma}^{*-1})|^{2})^{\frac{1}{2}} \|_{3} \\ &= \mathfrak{S}(\delta/\gamma^{2}) \| (\sum |C_{\delta} S^{\nu} f|^{2})^{\frac{1}{2}} \|_{3}. \end{split}$$

### Decomposition

- Let  $\widehat{f}$  be supported in  $\mathcal{A}(2)$ . Recall  $\sum_{\nu \in \sqrt{\delta}\mathbb{Z}} S^{\nu} f = f$ .
- $\bullet$  (Tow different scales) Let  $K_1$ ,  $K_2$  be dyadic numbers such that

$$1 \ll K_1 \ll K_2 \ll \delta^{-\epsilon},$$

$$\{\mathfrak{J}^1\} = \left\{\frac{k}{K_1} \in [-1, 1] : k \in \mathbb{Z}\right\},$$
$$\{\mathfrak{J}^2\} = \left\{\frac{k}{K_2} \in [-1, 1] : k \in \mathbb{Z}\right\}.$$

• Group  $S^{\nu}f$  into functions  $f_{\mathfrak{J}^2}$  by setting

$$f_{\mathfrak{J}^2} = \sum_{\nu \in (\mathfrak{J}^2 - (2K_2)^{-1}, \mathfrak{J}^2 + (2K_2)^{-1}]} S^{\nu} f, \qquad f = \sum_{\mathfrak{J}^2} f_{\mathfrak{J}^2}.$$

• Group  $f_{\mathfrak{I}^2}$  into functions  $f_{\mathfrak{I}^1}$  by setting

$$f_{\mathfrak{J}^1} = \sum_{\mathfrak{J}^2 \in (\mathfrak{J}^1 - (2K_1)^{-1}, \mathfrak{J}^1 + (2K_1)^{-1}]} f_{\mathfrak{J}^2}, \qquad f = \sum_{\mathfrak{J}^1} f_{\mathfrak{J}^1}.$$



# Preliminary decomposition

Note

$$C_{\delta}f = \sum_{\mathfrak{J}_1^1} C_{\delta}f_{\mathfrak{J}_1^1}.$$

Consider two cases

$$|C_{\delta}f(x)| \leq 16 \max_{\mathfrak{I}^1} |C_{\delta}f_{\mathfrak{I}^1}(x)|, \qquad |C_{\delta}f(x)| \geq 16 \max_{\mathfrak{I}^1} |C_{\delta}f_{\mathfrak{I}^1}(x)|.$$

• First stage decomposition

$$|C_{\delta}f(x)| \leq C \max_{\mathfrak{J}^{1}} |C_{\delta}f_{\mathfrak{J}^{1}}(x)| + CK_{1} \max_{\mathfrak{J}^{1}_{1},\mathfrak{J}^{1}_{2}:\,|\mathfrak{J}^{1}_{1}-\mathfrak{J}^{1}_{2}| \geq \frac{4}{K_{1}}} |C_{\delta}f_{\mathfrak{J}^{1}_{1}}(x)C_{\delta}f_{\mathfrak{J}^{1}_{2}}(x)|^{\frac{1}{2}}.$$

• One can apply bilinear estimate if there is one available. This will give linear estimate away from the endpoint case.

# Control of $C_{\delta} f_{\mathfrak{J}_{1}^{1}}(x) C_{\delta} f_{\mathfrak{J}_{2}^{1}}(x)$

Write

$$C_{\delta}f_{\mathfrak{J}_{i}^{1}} = \sum_{\mathfrak{J}_{i}^{2} \in (\mathfrak{J}^{1} - (2K_{1})^{-1}, \mathfrak{J}^{1} + (2K_{1})^{-1}]} C_{\delta}f_{\mathfrak{J}_{i}^{2}}, \ i = 1, 2.$$

Considering the cases

$$\begin{split} |C_{\delta}f_{\mathfrak{J}_{1}^{1}}(x)C_{\delta}f_{\mathfrak{J}_{2}^{1}}(x)| &\leq 2^{5}\max_{\mathfrak{J}_{1}^{2},\mathfrak{J}_{2}^{2}}|C_{\delta}f_{\mathfrak{J}_{1}^{2}}(x)C_{\delta}f_{\mathfrak{J}_{2}^{2}}(x)|, \\ |C_{\delta}f_{\mathfrak{J}_{1}^{1}}(x)C_{\delta}f_{\mathfrak{J}_{2}^{1}}(x)| &\geq 2^{5}\max_{\mathfrak{J}_{1}^{2},\mathfrak{J}_{2}^{2}}|C_{\delta}f_{\mathfrak{J}_{1}^{2}}(x)C_{\delta}f_{\mathfrak{J}_{2}^{2}}(x)|, \end{split}$$

We may assume

$$|C_{\delta}f_{\mathfrak{J}_{1}^{2}}(x)| > K_{2}^{-100}\max_{\mathfrak{J}_{2}^{2}}|C_{\delta}f_{\mathfrak{J}_{2}^{2}}(x)|, \text{ and } |C_{\delta}f_{\mathfrak{J}_{2}^{2}}(x)| > K_{2}^{-100}\max_{\mathfrak{J}_{1}^{2}}|C_{\delta}f_{\mathfrak{J}_{1}^{2}}(x)|.$$

Second stage decomposition

$$\begin{split} |C_{\delta}f_{\mathfrak{J}_{1}^{1}}(x)C_{\delta}f_{\mathfrak{J}_{2}^{1}}(x)| &\leq 2K_{2}^{-50}\max_{\mathfrak{J}^{2}\in\{\mathfrak{J}_{1}^{2}\}\cup\{\mathfrak{J}_{2}^{2}\}}|C_{\delta}f_{\mathfrak{J}^{2}}(x)|^{2} + 2^{5}\max_{\mathfrak{J}_{1}^{2},\mathfrak{J}_{2}^{2}}|C_{\delta}f_{\mathfrak{J}_{1}^{2}}(x)C_{\delta}f_{\mathfrak{J}_{2}^{2}}(x)| \\ &+ K_{2}^{50}\max_{\substack{\mathfrak{J}_{1}^{2},\mathfrak{J}_{2}^{2},\mathfrak{J}_{3}^{2}\in\{\mathfrak{J}_{1}^{2}\}\cup\{\mathfrak{J}_{2}^{2}\}\\ \min_{i\neq j}|\mathfrak{J}_{i}^{2}-\mathfrak{J}_{j}^{2}|\geq\frac{2}{K_{2}}}}|C_{\delta}f_{\mathfrak{J}_{1}^{2}}(x)C_{\delta}f_{\mathfrak{J}_{2}^{2}}(x)C_{\delta}f_{\mathfrak{J}_{3}^{2}}(x)|^{\frac{2}{3}}. \end{split}$$

#### Trilinear Control

Combining the previous bounds,

$$\begin{split} |C_{\delta}f(x)| &\leq C \max_{\mathfrak{J}^{1}} |C_{\delta}f_{\mathfrak{J}^{1}}(x)| + CK_{1} \max_{\mathfrak{J}^{2}} |C_{\delta}f_{\mathfrak{J}^{2}}(x)| \\ &+ K_{2}^{50} \max_{\substack{\mathfrak{J}_{1}^{2}, \mathfrak{J}_{2}^{2}, \mathfrak{J}_{3}^{2} \\ \min_{i \neq j} |\mathfrak{J}_{i}^{2} - \mathfrak{J}_{j}^{2}| \geq \frac{2}{K_{2}}}} |C_{\delta}f_{\mathfrak{J}_{1}^{2}}(x)C_{\delta}f_{\mathfrak{J}_{2}^{2}}(x)C_{\delta}f_{\mathfrak{J}_{3}^{2}}(x)|^{\frac{1}{3}}. \end{split}$$

• Raising 3rd power and integrating,

$$\begin{split} \| \mathit{C}_{\delta} f \|_{3}^{3} & \leq \mathit{C} \sum_{\mathfrak{J}^{1}} \| \mathit{C}_{\delta} \mathit{f}_{\mathfrak{J}^{1}} \|_{3}^{3} + \mathit{C} \mathit{K}_{1}^{3} \sum_{\mathfrak{J}^{2}} \| \mathit{C}_{\delta} \mathit{f}_{\mathfrak{J}^{2}} \|_{3}^{3} \\ & + \mathit{C} \mathit{K}_{2}^{150} \sum_{\substack{\mathfrak{J}_{1}^{2}, \mathfrak{J}_{2}^{2}, \mathfrak{J}_{3}^{2} \\ \min_{i \neq j} | \mathfrak{J}_{i}^{2} - \mathfrak{J}_{j}^{2} | \geq \frac{2}{\mathcal{K}_{2}}}} \| \mathit{C}_{\delta} \mathit{f}_{\mathfrak{J}_{1}^{2}} \mathit{C}_{\delta} \mathit{f}_{\mathfrak{J}_{2}^{2}} \mathit{C}_{\delta} \mathit{f}_{\mathfrak{J}_{3}^{2}} \|_{1}. \end{split}$$

$$\begin{split} \sum_{\substack{\mathfrak{J}_{1}^{2},\mathfrak{J}_{2}^{2},\mathfrak{J}_{3}^{2} \\ \min_{i\neq j} |\mathfrak{J}_{i}^{2}-\mathfrak{J}_{j}^{2}| \geq \frac{2}{K_{2}}}} & \int |C_{\delta}f_{\mathfrak{J}_{1}^{2}}(x)C_{\delta}f_{\mathfrak{J}_{2}^{2}}(x)C_{\delta}f_{\mathfrak{J}_{3}^{2}}(x)|dx \\ & \leq C_{\epsilon}\bigg(\frac{1}{K_{2}}\bigg)\delta^{-\epsilon}\prod_{i=1}^{3}\bigg\| (\sum_{\nu} |C_{\delta}f^{\nu}|^{2})^{\frac{1}{2}}\bigg\|_{3}. \end{split}$$

• Write  $f_{\mathfrak{I}^1} = \sum_{\nu \sim \mathfrak{I}^1} S^{\nu} f$  so that

$$f = \sum_{\mathfrak{J}^1} \sum_{\nu \sim \mathfrak{J}^1} S^{\nu} f.$$

• Since the diameter of  $\theta(f_{\mathfrak{J}_1}) \leq \frac{51}{50K_1}$  and set  $c = 50^2/51^2$ . Hence

$$\begin{split} \sum_{\mathfrak{J}^{1}} \| C_{\delta} f_{\mathfrak{J}^{1}} \|_{3}^{3} &= \sum_{\mathfrak{J}^{1}} \| \sum_{\nu \sim \mathfrak{J}^{1}} C_{\delta} S^{\nu} f \|_{3}^{3} \leq [\mathfrak{S}(c \delta K_{1}^{2})]^{3} \sum_{\mathfrak{J}^{1}} \| (\sum_{\nu \sim \mathfrak{J}^{1}} |C_{\delta} S^{\nu} f|^{2})^{\frac{1}{2}} \|_{3}^{3} \\ &\leq [\mathfrak{S}(c \delta K_{1}^{2})]^{3} \| (\sum_{\mathfrak{J}^{1}} \sum_{\nu \sim \mathfrak{J}^{1}} |C_{\delta} S^{\nu} f|^{2})^{\frac{1}{2}} \|_{3}^{3} \\ &\leq [\mathfrak{S}(c \delta K_{1}^{2})]^{3} \| (\sum_{\nu} |C_{\delta} S^{\nu} f|^{2})^{\frac{1}{2}} \|_{3}^{3}. \end{split}$$

Similarly,

$$\sum_{\mathfrak{J}^2} \|C_{\delta} f_{\mathfrak{J}^2}\|_3^3 \leq [\mathfrak{S}(c\delta K_2^2)]^3 \|(\sum_{\nu} |C_{\delta} S^{\nu} f|^2)^{\frac{1}{2}}\|_3^3.$$

Now combining all these estimates, we get

$$\| \sum_{\nu} C_{\delta} S^{\nu} f \|_{3} \leq C \Big( \mathfrak{S}(c \delta K_{1}^{2}) + K_{1} \mathfrak{S}(c \delta K_{2}^{2}) + K_{2}^{50} C_{\epsilon} \Big( \frac{1}{K_{2}} \Big) \delta^{-\epsilon} \Big) \\ \times \| \Big( \sum_{\nu} |C_{\delta} S^{\nu} f|^{2} \Big)^{\frac{1}{2}} \|_{3}.$$

Therefore

$$\mathfrak{S}(\delta) \leq C\Big(\mathfrak{S}(c\delta K_1^2) + K_1\mathfrak{S}(c\delta K_2^2) + K_2^{50}C_{\epsilon}\bigg(\frac{1}{K_2}\bigg)\delta^{-\epsilon}\bigg).$$

• Define

$$\overline{\mathfrak{S}}_{\beta}(\delta) = \sup_{\delta < \delta' < 1} (\delta')^{\beta} \mathfrak{S}(\delta').$$

Proof completes if  $\overline{\mathfrak{S}}_{\beta}(\delta) \leq C$  for any  $\beta > 0$ .

• Let  $\beta > 0$  and  $\delta \leq \delta_{\circ} \ll 1$ . Then,

$$egin{aligned} \delta_{\circ}^{eta} \mathfrak{S}(\delta_{\circ}) &\leq C \Big( \delta_{\circ}^{eta} \mathfrak{S}(c\delta_{\circ} \mathcal{K}_{1}^{2}) + \mathcal{K}_{1} \delta_{\circ}^{eta} \mathfrak{S}(c\delta_{\circ} \mathcal{K}_{2}^{2}) + \mathcal{K}_{2}^{50} C_{\epsilon} \Big( rac{1}{\mathcal{K}_{2}} \Big) \delta_{\circ}^{eta} \delta_{\circ}^{-\epsilon} \Big) \\ &\leq C \Big( \mathcal{K}_{1}^{-2eta} (c\delta_{\circ} \mathcal{K}_{1}^{2})^{eta} \mathfrak{S}(c\delta_{\circ} \mathcal{K}_{1}^{2}) + \mathcal{K}_{1} \mathcal{K}_{2}^{-2eta} (c\delta_{\circ} \mathcal{K}_{2}^{2})^{eta} \mathfrak{S}(c\delta_{\circ} \mathcal{K}_{2}^{2}) \\ &+ \mathcal{K}_{2}^{50} C_{\epsilon} \Big( rac{1}{\mathcal{K}_{2}} \Big) \delta_{\circ}^{eta - \epsilon} \Big) \end{aligned}$$

Since  $1 \le cK_2^2$ ,  $cK_1^2$  and  $\delta \le \delta_0$ , taking  $\epsilon = \beta$ ,

$$\delta_{\circ}^{\beta}\mathfrak{S}(\delta_{\circ}) \leq C\Big(K_{1}^{-2\beta}\overline{\mathfrak{S}}_{\beta}(\delta) + K_{1}K_{2}^{-2\beta}\overline{\mathfrak{S}}_{\beta}(\delta) + K_{2}^{50}C_{\epsilon}\Big(\frac{1}{K_{2}}\Big)\Big).$$

ullet Taking sup along  $\delta_{\circ} \geq \delta$ ,

$$\overline{\mathfrak{S}}_{\beta}(\delta) \leq C\Big(K_1^{-2\beta}\overline{\mathfrak{S}}_{\beta}(\delta) + K_1K_2^{-2\beta}\overline{\mathfrak{S}}_{\beta}(\delta) + K_2^{50}C_{\epsilon}\Big(\frac{1}{K_2}\Big)\Big).$$

Finally, choose  $K_1$ ,  $K_2$  such that  $CK_1^{-2\beta}<\frac{1}{4}$  and  $CK_1K_2^{-2\beta}<\frac{1}{4}$  to get

$$\overline{\mathfrak{S}}_{\beta}(\delta) \leq C K_2^{50} C_{\epsilon} (\frac{1}{K_2}).$$

#### Remarks

- As it is known, square function estimate can be used to improve sharp Wolff's inequality and improvement on Wolff's inequality also gives better square function. So, by the  $L^3$  square function the range of  $L^4$  estimate and sharp Wolff's inequality actually extends further.
- The argument here also works with the square function associated with spherical Bochner-Riesz operators. With additional work it is possible to give a different proof of result due Bourgain-Guth for Bochner-Riesz without relying on oscillatory integral estimate.
- For the higher dimensional cone multiplier the argument is more involved since we need to use lower dimensional restriction estimate when transversality fails. We don't know yet how to use multilinear restriction for the cone to get linear estimate. It is not clear even for restriction case.

## ON THE CONE MULTIPLIER IN $\mathbb{R}^3$

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